OPP#	Document Title	Original Date CC Approved	Last Revised Date CC Approved	Description from Purpose/Policy Sections	Link to Document
1	Review and Disposition of Applications for Participation	2/12/16	7/21/20	This policy and procedure defines eligibility requirements for organizations applying to participate in the eHealth Exchange. The Coordinating Committee will review and make a decision to accept, reject, or request clarification on Applicants as eHealth Exchange Participants.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-1_ReviewDispositionAppsForParticipation.pdf
2	Coordinating Committee General Operating Procedure	6/16/09	7/21/20	The Coordinating Committee facilitates decisions related to its duties described in the DURSA, oversees strategic, operational and management issues related to the eHealth Exchange, and provides support to the Participants. The Coordinating Committee will work in a timely fashion to achieve consensus on issues brought before it.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-2_General_Operating_Procedure.pdf
3	Participation-Changes, Suspension, Termination	7/20/15	7/21/20	This Policy outlines a framework for accepting and reviewing requests by Participants to make changes with regard to the Transaction Patterns they support, as well as processes and procedures for suspension and termination of a Participant, whether initiated by the Participant or by the Coordinating Committee.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-3_Changes-Suspension-Termination.docx-1.pdf
4	Change Process-Performance and Service Specifications	6/20/09	7/21/20	The Coordinating Committee shall establish and maintain reasonable Performance and Service Specifications. Performance and Service Specifications are those documents that describe the expectations and requirements for the management, operation, and participation in the eHealth Exchange. As may become necessary for the proper functioning of the eHealth Exchange, the Coordinating Committee may establish a new Performance and Service Specification, or it may amend, repeal, and/or replace any existing Performance and Service Specifications, consistent with this policy and the DURSA.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-4-PerfSvcSpecChange-Process.docx.pdf
5	Change Process-Operating Policies and Procedures	6/20/09	7/21/20	The Coordinating Committee shall establish and maintain reasonable OPPs. OPPs are those documents that describe the management, operation, and participation in the eHealth Exchange. As may become necessary for the proper functioning of the eHealth Exchange, the Coordinating Committee may establish a new OPP, or it may amend, repeal, and/or replace any existing OPPs, consistent with this policy and the DURSA.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-5_OPP-Change-process.pdf
6	Confidential Information Handling	6/11/09	7/21/20	Each member of the Coordinating Committee is obligated to hold all Confidential Participant Information in confidence and agrees that he or she shall not, during the term of his or her tenure on the Coordinating Committee or thereafter, disclose to any person or entity, nor use for his or her business or benefit, any information obtained in connection with his or her performance of duties as part of the Coordinating Committee. The Coordinating Committee members are obligated to maintain the confidentiality of Confidential Participant Information, except as necessary to fulfill the obligations of the DURSA. The ONC Representative is obligated to maintain the confidentiality of Confidential Participant Information as part of their employment or engagement with ONC.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-6_Confidential-Information_Handling.pdf
7	Adverse Security Event Notification (Originally: Breach Notification)	11/29/09	7/21/20	Adverse Security Events, as defined in the DURSA, are very serious events with potential for serious impact on Participants and the individuals whose Protected Health Information (PHI) is transmitted in Messages via the Exchange. An Adverse Security Event shall be treated as "discovered" as of the first day on which such Adverse Security Event is known to the organization, or, by exercising reasonable diligence would have been known to the organization (i.e. Adverse Security Events suffered by the organization's business associates). Thus, each Participant has the obligation to identify, notify, investigate and mitigate any known Adverse Security Event When detection of an Adverse Security Event has occurred, the Participant will notify the Coordinating Committee and any affected Participants of the Adverse Security Event in accordance with the procedures herein.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-7_Adverse_Security_Event_Notification.pdf
8	Data Use and Reciprocal Support Agreement and Amendment Process	3/9/10	7/21/20	The Coordinating Committee shall establish and maintain a process for amending the Data Use and Reciprocal Support Agreement (DURSA) that is consistent with Section 23.02 of the DURSA. An amendment to the DURSA shall be effective only if approved as provided in this policy and Section 23.02 of the DURSA.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-8_DURSA_Amendment_Process.pdf
9	Digital Credentials	3/27/14	2/16/21	eHealth Exchange digital certificates, are issued, managed, held and revoked in accordance with the DURSA under the authority of the eHealth Exchange Coordinating Committee (Coordinating Committee). These certificates serve as the "Digital Credentials" referenced in the DURSA and are used by eHealth Exchange Participants to authenticate to each other prior to the transmission of Message Content, to encrypt the communications channel for the exchange of Message Content, and to digitally sign certain components of the Message Content.

eHealth Exchange Digital Credentials are only intended to be used to exchange Message Content between eHealth Exchange Participants and networks contracted for exchange, as governed by the DURSA and Coordinating Committee. This OPP clarifies several deployment options.

Use of Digital Credentials for other purposes or for exchanging data with organizations who are not eHealth Exchange Participants and on networks contracted for exchange, increases risk to those Participants. For example, use of Digital Credentials for other uses creates a dependency, putting other uses and applications at risk since the Digital Credentials may be revoked, held, or re-issued in accordance with the DURSA and Coordinating Committee. | https://ehealthexchange.org/wp-content/uploads/2020/11/CC_OPP-9_Digital_Credentials-1.pdf |
10	New Networks_Participant Opt-Out of New Data Sharing Agreements	3/8/16	7/21/20	The purpose of this Operating Policy and Procedure (OPP) is to identify the process by which eHealth Exchange Participants will opt-out of networks with which the eHealth Exchange Coordinating Committee has entered into data sharing agreements pursuant to the authority granted to the Coordinating Committee by the DURSA section 4.03(m).	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-10_New-Networks.pdf
11	eHealth Exchange Service Levels and Operating Monitoring	5/17/06	7/21/20	The purpose of this OPP is to capture key operational metrics including, but not limited to, Participant gateway availability and response time. These metrics will be captured via the eHealth Exchange Hub and will be made available via an operational dashboard called the "Hub Dashboard". The Hub Dashboard will be accessible by both eHealth Exchange Support Staff and Participant representatives. The metrics may also be provided to the Coordinating Committee to aid their determination of the need for, and nature of, any future requirements related to eHealth Exchange Service Levels. It is important to note that any point-to-point, non-Hub based connectivity and messaging metrics are not included in the Hub Dashboard metrics.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-11_ServiceLevelsMonitoring-1.pdf
12	eHealth Exchange Vendor Participation	10/14/17	1/19/21	Repealed on 1/19/2021 and no longer an OPP in effect for eHealth Exchange	
13	Safeguarding Controlled Unclassified Information (CUI)	5/30/19	7/21/20	The purpose of this Operating Policy and Procedure is to clarify non-Federal Participant obligations are to safeguard Controlled Unclassified Information (CUI) and to establish the CUI Performance and Service Specifications.	https://ehealthexchange.org/wp-content/uploads/2020/08/082020-CC_OPP-13_Safeguarding-CUI.pdf
14	How to Comply with the DURSA's Duty to Respond	11/17/20	NA	The purpose is to provide Participants guidance on how to respond to requests for Treatment purposes as defined in the DURSA. This is important in the current state of Information Blocking.	https://ehealthexchange.org/wp-content/uploads/2020/11/CC_OPP-14_How-to-Comply-with-DURSAs-Duty-to-Respond.pdf
15	Hub Message Transformation Inventory	12/15/20	NA	Defines how eHealth Exchange to document changes to messages requested by Participants	https://ehealthexchange.org/wp-content/uploads/2020/12/122020-CC_OPP-15_Hub-Message-Transformation-Inventory.pdf